



TALKING HEDGE



# THE MODERNIZATION OF DUE DILIGENCE FOR ALTERNATIVE INVESTMENTS

APRIL 5, 2017 | THE HARVARD CLUB NEW YORK

## CONFERENCE GUIDE

# CONFERENCE SPONSORS





Dear Friends and Colleagues,

We are delighted to welcome you to our program, *The Modernization of Due Diligence for Alternative Investments*, created for institutional investors, alternative investment managers and industry solutions providers to share their insights on the latest improvements in due diligence efficiencies. We're pleased that you will invest your time to participate with us and hope that this program delivers value to your business.

We have assembled a speaking faculty of thought leaders from the institutional investor community and the alternative investment industry to share their expertise on best practices for due diligence. We'll examine the modern solutions available to improve the due diligence process as well the latest thinking on issues including alignment of interests, transparency, culture, talent, operations, and ongoing diligence.

We are grateful to our presenters for taking time out of their busy schedules to engage with you. They have prepared compelling discussions and are eager to share their perspectives.

Our sincere appreciation to our sponsors: BDO, Convergence, Inc., DMS Governance, The Gemini Companies, Manatt, Phelps & Phillips, LLP, StatPro Group plc, and Shadmoor Advisors LLC. for recognizing the value of this forum and collaborating with us to ensure its success.

We look forward to a constructive day of conversation and networking!

Kind regards,

Meg Bode & Kristin Fox

# CONFERENCE PROGRAM

8:00-9:00

## **BREAKFAST & WELCOME**

9:00-9:45

## **ALIGNMENT OF INTERESTS TAKE CENTER STAGE**

Moderator: John D'Agostino  
Managing Director, DMS Governance

Panelists: Lionel Erdely  
Head and CIO, Alternative Investment Solutions, Investcorp Investment Advisors

Ela Karahasanoglu  
Executive Director, Multi-Asset Strategies – Investment Division, Workplace Safety & Insurance Board

Judith Posnikoff  
Founding Partner, Pacific Alternative Asset Management Company (PAAMCO)

9:45-10:30

## **EVALUATING CHARACTER, TALENT AND CULTURE**

Moderator: Alessandra Tocco  
Managing Director, Global Head of Capital Introduction, Consulting and Content, J.P. Morgan

Panelists: Shakil Riaz  
Managing Director, Global CIO and Head of US Alternatives, Rothschild Asset Management, Inc.

Arvin Soh  
Portfolio Manager, Alternative Investment Solutions, GAM USA Inc.

10:30-11:00

## **NETWORKING BREAK**

11:00-11:45

## **ASSESSING MANAGERS' IMPROVED SOLUTIONS: TRANSPARENCY, SERVICE, CUSTOMIZED PRODUCTS**

Moderator: Heidi Kaiser  
Deputy General Counsel & Chief Compliance Officer, Campbell & Company

Panelists: Ranjan Bhaduri  
Chief Research Officer, Sigma Analysis & Management Ltd.

Kevin Edwards  
Senior Investment Director, Hartford HealthCare

Edward Lund  
Senior Vice President, Institutional Sales, The Gemini Companies

11:45-12:30

## **MODERN TOOLS ACCELERATE DUE DILIGENCE EFFICIENCIES**

Moderator: Westley Chapman  
CEO and Founder, AlphaPipe

Panelist: Nadine Haidar  
Managing Director, Head of Platform Management, Ramius

Damian Handzy  
Global Head of Risk, StatPro

Kristin Winford  
Managing Director, BDO Consulting

12:30-1:45      **NETWORKING LUNCH**

2:00-3:00      **AFTERNOON TOWN HALL DISCUSSIONS:**  
***STREAMLINING OPERATIONAL DUE DILIGENCE***

Moderator:    Steven Kahn  
Head of Operations, Talpion Fund Management

Panelists:     Philip Liu  
Counsel, Manatt, Phelps & Phillips, LLP

John Phinney  
Co-President, Convergence Inc.

Adrian Sales  
Head of Operational Due Diligence, Albourne America LLC

3:00-4:00      **ONGOING DILIGENCE: IS THERE EVER TOO MUCH?**

Moderator:    Brynn Coursey Heegan  
Managing Director, Head of Operational Due Diligence, Lyxor Asset Management

Panelists:     Benjamin Alimansky  
Managing Director, Director of Manager Research, Glenmede Trust

Michael Merrigan  
Managing Member, Shadmoor Advisors LLC

Esther Zurba  
Director, Castle Hall Alternatives

4:00-5:00      **NETWORKING RECEPTION**

# SPEAKER BIOS



## **BENJAMIN ALIMANSKY**

*Managing Director, Director of Manager Research, Glenmede Trust*

Benjamin Alimansky is a Managing Director and director of Glenmede's Manager Research Group, the Company's initiative to identify and recommend external investment managers with products and strategies that complete the spectrum of Glenmede's offerings.

Prior to joining Glenmede, Mr. Alimansky was a senior vice president and portfolio manager at Brooklyn NY Holdings, LLC where he managed hedge and non-hedge investments for a single family office and charitable foundation. Mr. Alimansky was a vice president and head of risk and quantitative analysis at Goldman Sachs & Co. Through out his career, Mr. Alimansky has focused on asset allocation, risk management, due diligence, and manager selection, across a broad range of both traditional and non-traditional asset classes.

Mr. Alimansky received an M.B.A. with a concentration in finance and venture capital from the Columbia University Graduate School of Business, and a B.S., also from Columbia, from the School of Engineering and Applied Science.



## **RANJAN BHADURI**

*Chief Research Officer, Sigma Analysis & Management Ltd.*

Ranjan Bhaduri is the Chief Research Officer at Sigma Analysis & Management Ltd. Dr. Bhaduri's role includes serving on a joint investment committee of a large institutional investor's liquid alpha portfolio. Dr. Bhaduri conducts origination, due diligence, and hedge fund research. In addition, Dr. Bhaduri is part of the executive management team at Sigma Analysis. Dr. Bhaduri has a winning track record of leadership and training. Several of his former analysts and interns have gone on to have successful careers and currently hold positions at prestigious organizations, including the following firms: Ontario Teachers' Pension Plan, Goldman Sachs, J.P. Morgan, Wisconsin Alumni Research Foundation, and Crabel Capital Management. Dr. Ranjan Bhaduri was previously the Chief Research Officer at AlphaMetrix Alternative Investment Advisors. At AlphaMetrix, Dr. Bhaduri designed, implemented, and led an institutional due diligence and research program. Dr. Bhaduri worked closely with institutional clients on portfolio matters. Prior to joining AlphaMetrix, he was a Vice President and on an Investment Committee at Morgan Stanley where he conducted due diligence and helped design customized portfolios of Alternatives. Earlier, he was at a Canadian Fund of Funds, and at a multibillion dollar capital management firm where he was involved in all aspects of its fund of hedge funds and structured finance business. He has also worked with two major Canadian investment banks in the Financial Strategy Consulting Group and in Global Risk Management & Control, respectively. Dr. Bhaduri has held advisory roles at the East-West Center, a leading think tank on the Asia-Pacific region, and at ClassMouse, an early stage software company. He has taught finance and mathematics at several universities and lectured on Derivatives for the Montreal Exchange. Dr. Bhaduri has done free-lance consulting, where his clients included hedge funds and institutional investors. Dr. Bhaduri has published papers on, and been invited to speak worldwide regarding hedge fund issues, and advanced portfolio and risk management techniques. Dr. Bhaduri was invited by the CME to be part of a special delegation that met with regulators in Beijing and Taipei to discuss hedge fund issues. Dr. Bhaduri holds both the CFA and CAIA charters. He is a member of the American Mathematical Society, the Mathematical Association of America, the Toronto CFA Society, and the Global Association of Risk Professionals (GARP). Dr. Bhaduri previously served as a member of the All About Alpha Editorial Board, and has previously served on the CAIA Chicago Chapter Executive. Dr. Bhaduri currently serves on the Board of Directors of AIMA Canada.



**WESTLEY D. CHAPMAN**

*CEO and Founder, Alpha Pipe Inc.*

Westley D. Chapman is the CEO and founder of Alpha Pipe Inc., a start-up building secure online services for alternative investment and private fund investors, managers and service providers to streamline and govern due diligence and monitoring processes. Prior to founding Alpha Pipe, West was a Managing Director at Goldman Sachs. During his 16 year career at Goldman Sachs, West held a variety of leadership roles including building and leading the Risk and Operational Due Diligence teams within GSAM's Alternative Investment and Manager Selection business, chairing the Operational Controls Committee, serving on the Investment Committee for Liquid Markets Alternatives, and serving as Chief Financial Officer of the Investment Management Division. Prior to joining Goldman Sachs, West was at Travelers Group, focusing on business planning for the life insurance businesses, and Price Waterhouse, focusing on the IBM audit engagement.

**JOHN D'AGOSTINO**

*Managing Director, DMS Governance*

John D'Agostino is a Managing Director in the New York office of DMS Governance. He is one of the firm's Fund Governance practice leaders, providing guidance and independent oversight to boards to ensure adherence to governance, regulatory and compliance requirements. His expertise is sophisticated private investment vehicles characterized by complex strategies and asset classes, notably derivatives, structured credit, direct lending cryptocurrencies and structured products. Prior to joining DMS, John was a Managing Director of Alkeon Capital, a New York investment advisor employing a long/short investment strategy. His responsibilities included fundamental investment research across industry sectors; global compliance and regulatory management; operations; risk management; and marketing. John previously worked with KPMG Consulting, where he was part of the firm's global leadership for the Finance and Hedge Fund consulting groups. While at KPMG, he provided operational and strategic advisory services across a variety of industries, including: financial services, capital markets, derivatives risk and pricing, commodities (physical and derivatives, technology, ecommerce and retailers. John began his career as Vice President and Head of Strategy with the New York Mercantile Exchange, where he helped transition the floor to electronic trading. He also led the effort to develop the first Middle East energy derivatives exchange, in partnership with the Dubai Government. John is the subject of the NY Times Best Selling book "Rigged" and is featured in Reid Hoffman's "The Start up of You." John guest lectures at MIT Sloan and work with the MIT Media Lab to produce teaching notes on fintech.

**LIONEL ERDELY**

*Head and Chief Investment Officer, Alternative Investment Solutions, Investcorp Investment Advisors*

Lionel Erdely joined Investcorp in November 2013 and is the Head and Chief Investment Officer of the Alternative Investment Solutions business. Prior to joining Investcorp, Mr. Erdely worked for 11 years at Lyxor Asset Management, where he held the dual position of Chief Investment Officer (2004 – 2013) and Chief Executive Officer of Lyxor Inc. (2009 – 2013). Prior to joining Lyxor, he was a Vice President in the Equity Corporate Finance department at Société Générale, where he worked on several IPOs, capital increases and convertible bond issues. Mr. Erdely holds an M.B.A. degree in Finance from the École Supérieure des Sciences Économiques et Commerciales (ESSEC) in Paris.

**KEVIN A. EDWARDS**

*Senior Investment Director, Hartford HealthCare*

Kevin joined the team at Hartford HealthCare in August 2014 as the Senior Investment Director reporting to the Chief Investment Officer. He is responsible for manager diligence and relationships, and risk management for the pension, endowment and insurance portfolios, as well as assisting with asset allocation and performance analysis and monitoring. Prior to joining Hartford HealthCare, Kevin was Vice President of Finance & Chief Investment Officer at the UConn Foundation overseeing investment of the endowment and other assets, treasury and back office functions. He has worked for hedge fund manager George Weiss Associates and started his career in public accounting with Blum, Shapiro & Co. PC. Kevin is a CFA charterholder and CPA. He is Treasurer for the Connecticut Hedge Fund Association and member of advisory boards for Student Managed Funds and Financial Risk Management programs at UConn. Kevin earned his B.S. in accounting from the University of Connecticut.

**NADINE HAIDAR**

*Managing Director, Head of Platform Management, Ramius*

Ms. Haidar oversees all of the business aspects related to operating the firm's alternative investment platform, including the onboarding of new managers and launch of new investment products and capabilities. She also oversees and executes many of the firm's business partnerships, including counterparties to the firm's investment managers as well as wholesalers and financial advisors. Prior to taking on this role, Ms. Haidar served as Head of Business Due Diligence for Ramius Trading Strategies LLC, a managed futures managed account platform that she helped build when she joined the company in 2009. Previously, she was a Vice President in the Business Due Diligence Group for the Alternative Investment Asset Management Division of Bank of America/Merrill Lynch. Ms. Haidar joined Merrill Lynch from Credit Suisse where she was an operational due diligence analyst in the Hedge Fund Investment Group from 2005 to 2006. She holds a Masters of Science in Financial Engineering from Columbia University and a Bachelors of Engineer in Industrial Engineering from Lebanese American University in Lebanon.

**DAMIAN HANDZY**

*Global Head of Risk, StatPro*

Damian has 20 years' experience in financial risk management, having worked with hundreds of Wall Street's most successful investment managers. He was co-founder, Chairman & CEO of Investor Analytic until he sold the company in 2016 to StatPro. Now the Global Head of Risk and a member of StatPro's Executive Board, Damian is responsible for both strategy and delivery of the Firm's cloud-based risk services around the world. Damian is a recognized thought leader in risk analytics and a sought-after keynote speaker for conferences throughout North America, Europe and Asia. He has long been an advocate of applying a cross-disciplinary approach to financial risk management by incorporating advancements from Behavioral Economics, Cognitive Science, Complexity Theory and Evolution. He pens a monthly column for Risk Magazine and he blogs at [www.riskology.com](http://www.riskology.com). Damian received his undergraduate degree from the University of Pennsylvania and his doctorate in nuclear astrophysics while working on Correlation Functions at the National Superconducting Cyclotron Laboratory at MSU.

**BRYNN COURSEY HEEGAN**

*Head of Operational Due Diligence, Lyxor Asset Management*

Brynn Coursey Heegan joined Lyxor Asset Management Inc. in February 2006 to lead the Operational Due Diligence team in New York. Prior to joining Lyxor Asset Management Inc., Ms. Heegan served as the Controller of Kensico Capital Management Corporation, a long/short equity investment firm, from 2002 to 2005. From 2000 to 2002, Ms. Heegan was working as an Analyst in Morgan Stanley's Prime Brokerage Group, where she oversaw 15 hedge fund relationships. From 1997 to 2000, Ms. Heegan worked at Arthur Andersen LLP as an experienced senior accountant in their Financial Markets Audit Division and Tax Advisory Services Practices. Ms. Heegan received a B.S. in Public Accounting with a specialization in Legal and Ethical Studies from Fordham University. She participated in the 2013 Columbia Leadership Program with Société Générale Corporate and Investment Banking and is currently a member of the 2014 SG AMER CEO Club Leadership Program.

**HEIDI KAISER**

*Deputy General Counsel and Chief Compliance Officer, Campbell & Company*

Ms. Kaiser joined Campbell & Company in May 2006 and is the Deputy General Counsel and Chief Compliance Officer. In this capacity, she is involved in all aspects of legal affairs, compliance and regulatory oversight for Campbell & Company. She oversees the Legal/Compliance and Audit teams. From November 1998 to April 2006, Ms. Kaiser was employed by Deutsche Bank Securities Inc. ("DBSI"), a broker/dealer subsidiary of a global investment bank, in several positions, including Vice President and Counsel for Deutsche Bank Alex. Brown, the Private Client Division of DBSI. While at DBSI, she represented the firm and its employees in securities litigation and NASD (n/k/a FINRA) and NYSE arbitrations. Ms. Kaiser holds a B.S. in Communications from Ohio University, and a J.D. from the University of Baltimore, School of Law. Ms. Kaiser is a member of the Bar of the State of Maryland. Ms. Kaiser holds Series 7 and 24 licenses.



**ELA KARAHASANOĞLU**

*Executive Director, Multi-Asset Strategies - Investments Division, Workplace Safety and Insurance Board*

Ela Karahasanoğlu is an Executive Director, Multi-Asset Strategies in the Investments Division of Workplace Safety and Insurance Board (WSIB), which manages roughly a \$30 billion portfolio. Ela is the Portfolio Manager dedicated to managing WSIB's c. \$7bn diversified markets and absolute return investment portfolios, which include hedge funds and active currency mandates. Prior to joining the WSIB in July 2016, Ela was the Vice President & Institutional PM of Currency and Asset Allocation team at CIBC Asset Management, responsible for currency management, asset allocation, and absolute return strategies. Prior to CIBC Asset Management, Ela was a Principal and Senior Manager Research Consultant in the Alternatives team within Mercer, covering currency, managed futures, commodities, global macro and multi-asset strategies among other hedge fund products. Ela was previously head of research and trading for six years at a New York-based quantitative hedge fund and also worked in the areas of credit derivatives at Merrill Lynch New York and started out her career as a currency and bond trader in Turkey. She also worked in Germany as a liaison between the European Union and the Turkish Ministry of Finance. Ela has over 18 years experience in the investment industry with over 12 years dedicated to research, trading and portfolio management in the hedge fund and absolute return space. Ela holds a Master of Business Administration from Georgetown University. She has been a CFA charterholder since 2002 and a CAIA charterholder since 2010.

**STEPHEN KAHN**

*Head of Operations, Talpion Fund Management*

Stephen Kahn joined Talpion Fund Management in April 2010. Currently, Talpion is operating as a single family office. Talpion previously launched a hedge fund in 2011, which subsequently closed in order to start a seeding platform. Talpion seeded its first and currently, its only, seed arrangement in March of 2013. As part of that arrangement, Talpion provided all the operational support and infrastructure for the fund. The family office also allocates to other hedge funds as well. Steve's experience working with Hedge Funds started in 2000 at DLJ/Pershing, holding positions in Sales, Relationship Management and eventually responsible for Operations. In 2003 he moved to Barclays Capital to manage the Equity Prime Brokerage Operations group. In 2007, he joined Carlyle Blue-Wave, a multi-strategy start-up hedge fund in New York, as the manager of operations and then in November of 2008, joined Raptor Capital Management, a hedge fund spin out from under Tudor Management, where he was the Director of Operations. Steve graduated Emory University in 1989 with a BBA in Management and received his MBA in Finance from Emory's Business School in 1993.

**PHILIP LIU**

*Counsel, Manatt, Phelps & Phillips, LLP*

Philip Liu is counsel with Manatt, Phelps & Phillips, LLP's corporate and finance practice. With over 15 years of experience in the financial sector, Philip advises advisers and financial institutions on legal matters concerning asset management, securities regulation, commodities and derivatives, and structured products. Philip joined Manatt having served as general counsel at a leading alternative investments asset management company in the managed futures space. There, he regularly advised on regulations concerning the Securities and Exchange Commission (SEC), Commodity Futures Trading Commission (CFTC), the National Futures Association (NFA), the Financial Industry Regulatory Authority (FINRA) and the North American Securities Administrators Association (NASAA). Prior to that, Philip practiced for several years as a securities and corporate attorney, concentrating on issues surrounding commercial lending, bankruptcy, financial structuring and development, acquisition financing as well as capital markets transactions. He is a former senior vice president and legal structurer at HSBC in New York.

**EDWARD LUND***Senior Vice President, The Gemini Companies*

Eddie Lund serves as Senior Vice President of Business Development. Eddie joined Gemini Fund Services, LLC in 2007 and in 2013, his role was expanded to include Gemini Hedge Fund Services, LLC. Eddie started in the sales industry in 2000 and in his current role, is responsible for new business development, including managing the sales process used to attract new clients to Gemini. Part of Eddie's role includes educating investment advisors on the many pooled investment products that Gemini services and helping them find the best solution for their business. Eddie also spends his time consulting with advisors on how to best grow their pooled investment vehicle through various distribution efforts and how to efficiently operate their vehicle. An Omaha, Nebraska native, Eddie currently resides in Hamilton, Ohio. Eddie graduated from Doane College in Crete, Nebraska, earning a degree in Business Administration with an emphasis in marketing and finance.

**MICHAEL MERRIGAN***Managing Member, Shadmoor Advisors LLC*

Michael V. Merrigan founded Shadmoor Advisors LLC in 2014 as a co-sourced operational due diligence consulting firm. Since that time, Shadmoor has worked with a variety of clients on operations related initiatives, including: funds of funds, single family offices, multi-family offices, sovereign wealth funds, placement agents, managed account platforms and emerging managers. Prior to founding Shadmoor, Mr. Merrigan was a managing director and investment committee member at Gottex Fund Management (>\$5 billion fund of hedge funds), where he led a four-person global operational due diligence team. Prior to Gottex, Mr. Merrigan implemented the first in-house operational due diligence program at General Motors Asset Management (>\$100 billion in AUM). Prior to GMAM, Mr. Merrigan spent seven years focused on investment due diligence of hedge funds at HRJ Capital and Credit Suisse's hedge fund investments group. Mr. Merrigan started his career in the operations group of Gabelli Asset Management. Mr. Merrigan graduated from Boston College in 1997 with a B.A. in English.

**JOHN F. PHINNEY, JR.***Founder and Chairman, Convergence Inc.*

John is a founder and Chairman of Convergence Inc. Convergence provides original content, custom research and advisory services to a broad range of clients in the alternative asset management industry, including but not limited to Asset Managers, Fund Administrators, Auditors, Prime Brokers, Custodians, Compliance Firms, Consultants, Fintech firms, Recruiters, Real Estate Brokers and Colleges and Universities. Our data driven original content sheds light on new opportunities for our clients to improve their business. Previous to founding Convergence, John served as the Chief Financial and Operating Officer overseeing fund accounting, investment operations, risk management and information technology at Apollo Global Management. Prior to Apollo he developed and managed the infrastructure leveraged by many of today's leading financial companies including JPMorgan, Fidelity Investments and The Rohatyn Group. John graduated Cum Laude from Suffolk University with a BSBA majoring in Accounting and is a 1980 graduate of The United States Marine Corp Officer Candidate PLC program. John is a trustee of the Chewonki Foundation, an environmentally focused educational organization located in Wiscasset, Maine and is a trustee and Head of the Investment Committee of the Marginal Way Preservation Fund in Ogunquit, Maine.

**JUDITH POSNIKOFF, PHD***Managing Director and Founding Partner, PAAMCO*

From PAAMCO'S inception, Judy has been involved in all aspects of the firm's investment process, having served as a Sector Specialist for Equity Market Neutral and Merger Arbitrage and Chair of the Investment Oversight Committee. She is currently focused on portfolio construction as a member of the firm's Portfolio Construction Group, setting strategy and asset allocation for the firm's flagship moderate multi-strategy portfolios. Judy was a member of the founding board of directors of the Association of Women in Alternative Investing (AWAI) and is on the board of 100 Women in Finance and the CAIA Foundation. Judy also chairs the scholarship committee of the 100 Women in Finance Institute.



### **SHAKIL RIAZ**

*Managing Director, Global CIO and Head of US Alternatives, Rothschild Asset Management, Inc.*

Shakil Riaz joined Rothschild Asset Management Inc in April 2015 as Global CIO and Head of US Alternatives. Mr. Riaz is based in New York and will help build, manage and implement a portfolio of alternative investments specializing in global macro, systematic and multi-strategy hedge funds. Before joining Rothschild, Mr. Riaz was a Managing Director and Member of the Investment Committee at Arden Asset Management, LLC (Arden) where he was responsible for creating and managing customized portfolios for several state, corporate and union pension plans, an insurance company and institutional investors. Mr. Riaz also managed and led the investment process for the Arden PropPartners funds. Prior to Arden, Mr. Riaz was Chief Investment Officer of J.P. Morgan PropPartners Management Corp (JPM PP) formerly known as the Capital Markets Investment Program (CMIP) which since 1994 managed a portion of the Bank's proprietary capital in a diversified portfolio of global hedge funds. From 1983 to 1991, Mr. Riaz was General Manager of the predecessor Chemical Bank's offices in Cairo, Bahrain and Singapore. He joined Chemical Bank in 1976 in the International Operations Group, transferring to the Middle East territory of the International Division in 1980. Mr. Riaz graduated magna cum laude from Princeton University in 1973 with a B.S. in Aerospace & Mechanical Engineering. He also holds a graduate diploma from the University of Stockholm and an MBA from the Fuqua School of Business at Duke University where he was the recipient of a Faculty Fellowship.



### **ADRIAN SALES**

*Partner, Head of Operational Due Diligence, Albourne America LLC*

Adrian Sales is a Partner and Global Head of Operational Due Diligence at Albourne, a specialist investment consultancy specializing in hedge funds, private equity & real assets, and is based in their Rowayton, Conn office. He joined Albourne in 2001 as CFO and set up their due diligence practice which now has a team of 65 people and has reviewed over 2000 asset managers. Prior to Albourne he was Finance Director at Viacom UK and a manager at Ernst & Young. He is a chartered accountant and graduate of Manchester University.



### **ARVIN SOH**

*Portfolio Manager, Alternative Investment Solutions, GAM USA Inc*

Arvin Soh is a Portfolio Manager and Investment Committee Member of GAM's Global Investment Solutions team with over twenty years of experience investing professionally. Within GAM, he is responsible for global macro and systematic investments along with the management of GAM Trading and numerous multi-strategy accounts. This also includes collaborating with the global sales and marketing team on new product development. Prior to joining GAM in February 2005, Mr Soh was a manager within the pension group at Pfizer, with primary responsibility for manager selection in international equity, global macro and currency funds. Before that, he was an assistant portfolio manager with a quantitatively-based fundamental hedge fund, and a vice president with Bankers Trust Asset Management focused on global markets. Mr Soh holds a BA in Economics from Cornell University and an MBA from the Wharton School of the University of Pennsylvania. He is based in New York.



### **ALESSANDRA TOCCO**

*Managing Director, Global Head of Capital Introduction, Consulting and Content, J. P. Morgan*

Alessandra Tocco is a Managing Director and the Global Head of J.P. Morgan's Capital Introduction Group, a service offering within the firm's Investor Services division. Ms. Tocco is responsible for driving the strategic direction of the Capital Intelligence product; the introduction of the firm's hedge fund clients to an extensive network of qualified institutional investors. This includes but is not limited to developing relevant positioning, implementing capital solutions and providing support throughout the process. In addition, Ms. Tocco drives the vision of the Prime Brokerage Capital Intelligence publications. Prior to this role, Ms. Tocco served as a director in the Capital Introduction group of ABN AMRO, where she specialized in the macro and fixed income space. Ms. Tocco began her career at Morgan Stanley, where she managed the due diligence effort and created several Fund of Fund products within the Managed Futures Division. Ms. Tocco holds a B.S. from Mercy College. Outside the office she supports a number of local charitable and civic organizations. Ms. Tocco sits on the development committee of the New York City area YMCA and is an active supporter of The Robin Hood Foundation and the Perlmutter Cancer Center at the NYU Langone Medical Center.



**KRISTIN WINFORD**

*Managing Director, BDO Consulting*

Kristin Trahan Winford is a Managing Director with more than 20 years of leadership experience responsible for strategic planning and implementation to drive sustainable and profitable growth for various BDO Consulting practices. She provides guidance on strategic assessments, implementation and operational excellence that support the growth and alignment of operating strategies and human capital to deliver exceptional client service. Kristin facilitates performance improvement in financial, management and technology-related areas, having extensive experience in global technology and professional services firms. She has a proven track record of leading award-winning organizations to realize strategic business objectives, assess strategic alternatives and develop and leverage human capital, in addition to driving planned and profitable growth through the alignment of culture, talent, strategy, systems and execution. Prior to joining BDO, Kristin served as Chief Operating Officer of Mesirow Financial Consulting, LLC (formerly the U.S. Corporate Restructuring practice of KPMG, LLP) where she led all aspects of its business unit operations, focusing on human capital and operating strategies of the firm. She also directed national operations for the Corporate Restructuring and Transaction Services practices of KPMG. Kristin frequently publishes and presents on the topics of organizational alignment, leadership, corporate governance, organizational efficacy and employee incentives. She also teaches graduate level courses on Leadership Theory, Business Ethics, Toxic Leadership, Human Resources Management, and Finance and Leadership.

**ESTHER ZURBA**

*Director, Castle Hall Alternatives*

Esther is one of Castle Hall's senior operational due diligence practitioners and has conducted hundreds of operational due diligence reviews on behalf of institutional investors on global asset managers spanning hedge funds, private equity, private debt, infrastructure, real estate, venture, long only, funds of funds, and others. With over 20 years of professional experience including 15 years focused on alternative investment strategies, Esther brings a perspective from both the buy and sell sides of the investor due diligence desk. Esther joined Castle Hall in 2012 from Scotia Capital's Trade Operations and Risk Control group, where she conducted operational due diligence reviews on external asset managers supporting multiple business lines. Prior to joining Scotia Capital, Esther worked with Mapleridge Capital, a Toronto based short-term systematic CTA. Esther holds an MBA from Richard Ivey School of Business at the University of Western Ontario, and BA (Honours) from University of Toronto. Esther is also a Chartered Alternative Investment Analyst charter holder and is actively involved with the CAIA Association in various capacities. Esther is currently a member of AIMA Canada's Education and Research Committee.

# CONFERENCE ATTENDEES

## FINAL

Mark Abrahams  
CFO and Chief Investment Officer  
FJC  
New York, NY USA

Ki Akrami  
Managing Director  
Rothschild Asset Management  
New York, NY USA

Benjamin Alimansky  
Managing Director,  
Director of Manager Research  
Glenmede  
Philadelphia, PA USA

Saurav Aneja  
Assistant Vice President  
Global Client Group – Alternatives  
Deutsche Bank  
New York, NY USA

Joanne Arweiler  
Managing Director  
BDO  
New York, NY USA

Scott Augustus  
Director - Financial Services Advisory  
BDO  
New York, NY USA

Joe Bartolotta  
VP of Sales & Marketing  
BackTrack Reports  
New York, NY USA

Brennan Basnicki  
Product Specialist,  
Director of Consultant Relations  
Campbell & Company, Inc.  
Baltimore, MD USA

Ash Batra  
Controller  
MIO Partners, Inc  
New York, NY USA

Ranjan Bhaduri  
Chief Research Officer  
Sigma Analysis & Management Ltd.  
Toronto, Ontario Canada

Shachi Bhatt  
Chief Compliance Officer  
The Office of the NYC Comptroller -  
Bureau of Asset Management  
New York, NY USA

Jack Bojman  
Chief Investment Officer  
Cammebys International  
New York, NY USA

Paul Bornstein  
Portfolio Manager  
Black Diamond  
New York, NY USA

Andrew Borowiec  
Executive Director  
IMDDA  
New York, NY USA

Perry Boyle  
President and  
Chief Investment Officer  
Stamford Harbor Capital  
Stamford, CT USA

Westley Chapman  
CEO and Founder  
AlphaPipe  
New York, NY USA

Melissa Cohn  
Director, Liquid Strategies Research  
Kenmar Olympia LLC  
New York, NY USA

Brynn Coursey  
Managing Director, Head of  
Operational Due Diligence  
Lyxor  
New York, NY USA

Clif Covington  
Consultant  
Shadmoor AdvisorsLLC  
Scarsdale, NY USA

Sanya Cowan  
Compliance  
Office of NYC Comptroller –  
Bureau of Asset Management  
New York, NY USA

John D'Agostino  
Managing Director  
DMS Governance  
New York, NY USA



# CONFERENCE ATTENDEES

## FINAL

Andrew Dabinett  
Managing Director, Head of Business  
Development, North America  
Lyxor Asset Management, Inc.  
New York, NY USA

Milena Dubinsky  
Vice President, US Head of Marketing  
Lyxor Asset Management Inc (USA)  
New York, NY USA

Kevin Edwards  
Senior Investment Director  
Hartford HealthCare  
Hartford, CT USA

Lionel Erdely  
Head & CIO,  
Alternative Investment Solutions  
Investcorp Investment Advisors  
New York, NY USA

George Evans  
Co-President  
Convergence Inc.  
South Norwalk, CT USA

Alkesh Gianchandani  
Director  
Deutsche Asset Wealth Management  
New York, NY USA

Eric Golberg  
Managing Director  
V2V Associates  
New York, NY USA

David Goracy  
Partner Managing Director  
Ramius LLC  
New York, NY USA

Vianney Gouny  
Lyxor Asset Management Inc (USA)  
New York, NY USA

Nadine Haidar  
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